PATENT
DOCKET NO.: 2685/5895

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03-27-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICANTS | : | Radhika R. ROY |
| SERIAL NO. | : | 09/974,789 |
| FILED | : | 12 October 2001 |
| FOR | : | METHOD AND APPARATUS FOR PROVIDING COMMON INTELLIGENT VALUE-ADDED SERVICE PROTOCOLS FOR ACCESSING VALUE-ADDED SERVICES BY ALL MULTIMEDIA |
| GROUP ART UNIT | : | 2661 |

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FEB 0 6 2002

Technology Center 2600

ASSISTANT COMMISSIONER
 FOR PATENTS
Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT
## UNDER 37 C.F.R. § 1.97 & § 1.98

S I R:

In conformance with Applicant's duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(b)(3), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.
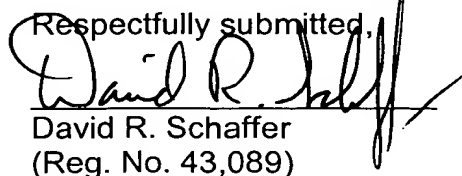
In accordance with the requirements of 37 C.F.R. § 1.98, copies of the references are submitted herewith.

### Statement of Relevancy

The references were cited in the International Search Report of the corresponding PCT/US01/42680. A copy of the Search Report is also enclosed.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear in the "references cited" on any patent to issue therefrom.

Respectfully submitted,

David R. Schaffer
(Reg. No. 43,089)

Date: 4 February 2002

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**FORM PTO-1449**
**INFORMATION DISCLOSURE**
**STATEMENT BY APPLICANT(S)**

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|---|---|
| Atty Docket No.: | 2685/5895 |
| Serial No. | : 09/974,789 |
| Applicants | : R. R. ROY |
| Filed | : 12 October 2001 |
| Group Art Unit | : 2661 |
| Examiner | : |

## U.S. PATENT DOCUMENTS

| Examiner Initial | Patent Number | Patent Date | Name | Class/ Subclass | Filing Date |
|---|---|---|---|---|---|
| | 5,943,408 | 08/24/99 | CHEN et al. | 379/207 | |

## FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class/ Subclass | Translation Yes No |
|---|---|---|---|---|---|
| | | | | | |

## OTHER DOCUMENTS
(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

_____  1.  F. Imhoff: *Efficient Integration and Translation of Value Added Services for Converging Networks Using Middleware Platforms*, 9th Inter. Conf. On Computer Communication and Networks, Oct. 200, pp. 164-169

_____  2.  H.F. Badran: *Service Provider Networking Infrastructures With MPLS*, 6th IEEE Symposium on Computers and Communication, 2001, pp. 312-318

EXAMINER                                     DATE CONSIDERED

_____                    _____

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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